

IAP10 Rec'd PCT/PTO 23 DEC 2005

Attorney Docket: 27099U

MAIL STOP PCT

U.S. Department Of Commerce, Patent And Trademark Office		Date: December <u>23</u> , 2005
Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371		U.S. Application No.: (if known) Not Yet Assigned 107562149
International Application No.: PCT/EP2004/051315	International Filing Date: 30 June 2004 (30.06.2004)	Priority Date Claimed: 30 June 2003 (30.06.2003) EP
Title of Invention: NOVEL PYRROLODIHYDROISOQUINOLINES USEFUL IN THE TREATMENT OF CANCER		
Applicants for DO/EO/US: VENNEMANN, Matthias; BAER, Thomas; BRAUNGER, Juergen; GEKELER, Volker		
<p>Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):</p> <p>a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>5. <input type="checkbox"/> A English translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>6. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>7. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>8. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>9. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>ITEMS 10 to 19 BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:</p> <p>10. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>11. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>12. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</p> <p>13. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 USC 1821 - 1825</p> <p>17. <input type="checkbox"/> A second copy of the published international application under 35 USC 154(d)(4)</p> <p>18. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 USC 154(d)(4)</p> <p>19. <input checked="" type="checkbox"/> Other items or information: TRANSMITTAL LETTER; APPLICATION DATA SHEET; INTERNATIONAL PUBLICATION NUMBER: WO 2005/003130 A1, WITH PCT/ISA/210 (INTERNATIONAL SEARCH REPORT); INTERNATIONAL PUBLICATION DATE: 13 JANUARY 2005 (13.01.2005), WHICH CONSISTS OF 107 PAGES: 2 PAGES FOR THE COVER SHEET, 86 PAGES OF TEXTUAL SPECIFICATION, 19 PAGES OF 22 CLAIMS, 0 SHEETS OF DRAWINGS; PRELIMINARY AMENDMENT WITH APPENDICES A AND B TO BE EXAMINED; EXECUTED DECLARATION AND POWER OF ATTORNEY; PCT/IB/308 (FIRST NOTICE INFORMING THE APPLICANT OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION); PCT/IB/308 (SECOND AND SUPPLEMENTAL NOTICE INFORMING THE APPLICANT OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION); PCT/RO/101 (PCT REQUEST); POSTCARD FOR EARLY NOTIFICATION OF THE SERIAL NUMBER REQUEST.</p>		

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U.S. Application Number: (if known): Not Yet Assigned 10/562149	International Application Number: PCT/EP2004/051315	Date: December 23 , 2005
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The following fees are submitted:		CALCULATIONS	PTO USE ONLY
21. Basic National Fee \$ 300.00		\$ 300.00	
22. Examination Fee If International Preliminary Examination Report prepared by USPTO and all claims satisfy Provisions of PCT Article 33(1)-(4) \$ 100.00 All other situations \$ 200.00		\$ 200.00	
23. Search Fee (37 CFR 1.445(a)(2) has been paid on the International Application to the USPTO as an International Searching Authority ... \$ 100.00 International Search Report prepared and provided to the Office \$ 400.00 All other situations \$ 500.00		\$ 400.00	
<u>X</u> Additional fee for specification and drawings filed in paper over 100 sheets (excluding Sequence listing or computer program listing filed in an electronic medium). The fee is \$ 250.00 for each additional 50 sheets of paper or fraction thereof.		\$ 500.00	
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$ 1,400.00	

Surcharge of \$130.00 for furnishing the oath or declaration later than <u>20</u> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	\$ 0.00	
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CLAIMS	NUMBER: FILED	NUMBER: EXTRA	RATE	
TOTAL	21-20=	1	X \$ 50.00	\$ 50.00
INDEPENDENT	1-3=	0	X \$ 200.00	\$ 0.00
Multiple dependent claims(s) (if applicable)			+ \$360.00	\$ 0.00
TOTAL OF ABOVE CALCULATIONS =				\$ 1,450.00
Reduction by 2 for asserting small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).				\$ 0.00
SUBTOTAL =				\$ 1,450.00
Processing fee of \$130.00 for furnishing the English translation later than <u>20</u> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +				\$ 0.00
TOTAL NATIONAL FEE =				\$ 1,450.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 0.00
TOTAL FEES ENCLOSED =				\$ 1,450.00
				Amount to be: refunded
				\$
				charged

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U.S. Application Number:
(if known): Not Yet Assigned

International Application
Number: PCT/EP2004/051315

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a. One check in the amount of \$ 1,450.00 to cover the above fees is enclosed.

b. Please charge my Deposit Account Number: 14-0112 in the amount of \$ _____ to cover the above fees. (A duplicate copy of this sheet is enclosed.)

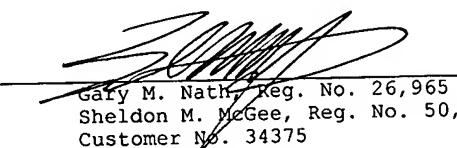
c. The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account Number: 14-0112.

d. Fees are to be charged to a credit card _____. WARNING: Information on this form may become public _____. Credit Card Information should not be included on this form.
 Provide credit card information and authorization on PTO-2038

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

Send All Correspondence To:

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Attorney Docket: 27099U
Date: December 23, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/562149

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In re Application of:
VENNEMANN, et al.

Serial No.: Not Yet Assigned

Filed: December 23, 2005

International Appl. No.: PCT/EP2004/051315

International Filing Date: 30 June 2004 (30.06.2004)

Title: NOVEL PYRROLODIHYDROISOQUINOLINES USEFUL IN THE TREATMENT OF CANCER

TRANSMITTAL LETTER

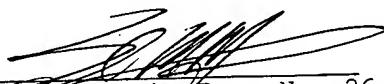
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir: Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- 1) Application Data Sheet;
- 2) Transmittal Letter to U.S. Designated/Elected Office (DO/EO/US) Concerning filing under 35 U.S.C. 371;
- 3) International Publication Number: WO 2005/003130 A1, with PCT/ISA/210 (International Search Report); International Publication Date: 13 January 2005 (13.01.2005), which consists of 107 pages:
 2 pages for the Cover Sheet,
 86 pages of Textual Specification,
 19 pages of 22 Claims,
 0 sheets of Drawings;
- 4) Preliminary Amendment with Appendices A and B to be Examined;
- 5) Executed Declaration and Power of Attorney;
- 6) PCT/IB/308 (First Notice Informing the Applicant of the Communication of the International Application);
- 7) PCT/IB/308 (Second and Supplemental Notice Informing the Applicant of the Communication of the International Application);
- 8) PCT/RO/101 (PCT Request);
- 9) Check No.: 1379 in the Amount of \$ 1,450.00 for Basic Filing Fee, which includes the Search and Examination Fees (\$900), for Additional Fee for over 100 sheets (\$500), and for 1 Extra Claim over 20 Total Claims (\$50), as a Large Entity;
- 10) Postcard for Early Notification of the Serial Number Request.

Respectfully submitted,
NATH & ASSOCIATES PLLC

By:


Cary M. Nath, Reg. No. 26,965
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